

For Six Month Period Ending

02 FEB 1983

(Insert date)

Name of Registrant

Registration No.

Japan Trade Center

1850

Business Address of Registrant

230 North Michigan Avenue, Chicago, Illinois 60601

I-REGISTRANT

REGISTRATION UNIT
MAR 1 2 00 PM '83

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

Not Applicable

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Japan External Trade Organization (JETRO)

Osaka Municipal Government (please refer to Schedule I attached)

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Please refer to Schedule II, attached

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Japan External Trade Organization (JETRO)

- * Please refer to Schedule II, PR activities and Section V, Political Propaganda - Item 16 through 24 of this statement.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Please refer to Schedule III, attached.

Osaka \$ 65,203.62

400,810.88

Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Please refer to Schedule IV (A) and (B), attached.

Osaka \$ 51,165.02

JETRO 355,836.05

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

Japan External Trade Organization (JETRO), Tokyo, Japan

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Japan External Trade Organization (JETRO), Tokyo, Japan

Amount - \$36,900.00

Period - April through September, 1982

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☒ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐
 Exhibit B⁷ Yes ☐ No ☐ Not applicable

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C.

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT


Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

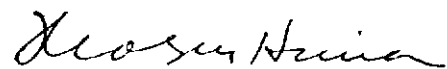
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)


Sumio Tanaka
Chief Executive Director

Subscribed and sworn to before me at

Chicago, Illinois

this 16 day of February, 19 83



(Signature of notary or other officer)

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

SCHEDULE I

The Japan External Trade Organization (JETRO) is a wholly owned organization of the government of Japan and part thereof, created by special legislative enactment, for the purpose of promoting trade between Japan and other countries and to develop better economic and trade relations between Japan and such other countries. JETRO operates in the United States under the name of Japan Trade Center and its offices here are engaged primarily in economic and market research, dissemination of economic and trade information on Japan as well as trade show participation and its functions are believed to be similar to those of the United States Trade Center (of the United States Department of Commerce) in Japan and other foreign countries.

Some of JETRO's employees are drawn from local government units including those listed above. Some of such employees drawn from local government units are assigned to work in some of the branch offices of JETRO in the United States. Such persons are employees of JETRO and engaged in a variety of activities in the same manner as other employees.

Because the various local government in general have an interest in the trade and business informations gathered by JETRO through its branch offices in the United States, they are among the recipients in Japan of such information disseminated by JETRO. Such information is principally used by the local governments for dissemination to companies which qualify as small business in their area. Such small business generally do not have the means or resources to gather such business information on their own as do larger companies. JETRO employees who have had prior experience with business in the areas of such local governments, because of their familiarity with them, may be called upon in connection with their other duties to gather information for dissemination through JETRO to such governments. At all times, however, they act as employees of JETRO and do not represent these government as foreign agents in the United States. The local governments generally make annual contributions to JETRO for the purpose of reimbursing JETRO for expenses incurred in carrying out its functions.

SCHEDULE II

Activities

- 1) Research Department - Conducted research on foreign trade in the United States.
- 2) PR Department
 1. Routine activities
 - Film loans
 - Publication distribution - "Focus Japan" (200 copies monthly)
 2. Seminar and meetings (Japan Trade Center sponsor)
 - April 16, 1982 - "Japanese Industrial Policy"
Speech by : Mr. T. Kudo, Executive Director at the University of Chicago
 - April 30
"Japan's Industrial Policy"
Speech by: Mr. T. Kudo, Executive Director at Racine, Wisconsin
 - May 18
"Japan-US Relations"
Speaker: Mr. Sen Nishiyama, Sony Advisor at Northwestern University
 - May 24
"Japanese Investment in U.S."
Speaker: Mr. S. Tanaka, Chief Executive Director at Richmond, Indiana
 - June 6
"Recent U.S.-Japan Trade Relation"
Speaker: Mr. S. Tanaka, Chief Executive Director at Mallickvilt College, Wilmette
 - September 30
"Beyond the Myth of Japanese Management"
Speaker: Prof. K. Kobayashi at Kansas City Chamber of Commerce.
- 3) Osaka - As a division in charge of inquiry, gave out information and publicity materials on Japanese merchandise and Japanese companies to those who inquired about this type of informations.

SCHEDULE III

Remittance from Japan External Trade Organization, Tokyo, Japan for maintenance of Japan Trade Center, Chicago. (latest semiannual fiscal period from April through September, 1982)

JETRO

April, 1982	\$72,581.21
May,	52,096.95
June,	103,343.07
July,	80,087.82
August,	54,024.99
<u>September,</u>	<u>38,676.84</u>
	\$400,810.88

Osaka

April - September, 1982	\$65,203.62
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SCHEDULE IV (A)

JETRO

Expenditures ---- April through September, 1982

1) General Office Expenses

\$297,716.70

Salary - staff and local

\$204,194.73

staff

S. Tanaka	18,827.25
T. Kudo	19,937.10
Y. Date	13,059.08
J. Hara	4,000.88
H. Setoya	16,933.09
T. Kobayashi	13,716.63
E. Nagata	16,632.45
S. Fujii	14,921.91
T. Yamamoto	5,321.32
H. Yanagisawa	15,316.10
	<u>\$138,665.81</u>

Local

R. Filson	13,029.00
Y. Iwamura	8,793.00
P. Noga	5,905.59
E. McDonald	2,663.13
B. Hrusousky	695.47
M. Waltz	2,912.73
R. Redmond	5,700.00
S. Thomas	8,064.00
M. Kretzmann	11,565.00
E. Nishimoto	6,201.00
	<u>\$65,528.92</u>

Schedule IV (A)
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1) General office expenses (continued)

Rent	T.B.Z. Realty & Mgmt. Corp.	\$40,023.44
Utility	T.B.Z. Realty & Mgmt. Corp.	3,361.90
Lawyer's retain fee	Mr. Thomas Hiura	900.00
Insurance	American Insurance Consultants, Inc. & Honda & Associates	3,491.62
Membership	Japanese Chamber of Commerce & Industry of Chicago, Chicago Association of Commerce & Industry, Rotary Club, Mid-America Club, Illinois Athletic Club	3,280.00
Travel	Yamada Travel Service, staff	3,275.35
Subscriptions	Henshaw Newspaper Delivery, OCS America, Inc., etc.	3,829.35
Temporary help		2,632.67
Communications	Illinois Bell Telephone Co.	7,245.76
	U.S. Post Office	3,576.25
	Western Union	1,175.01
	US Tel	884.26
	Pitney Bowes	446.36
	others	325.35
		<u>13,652.99</u>
Luncheons	Japan America Society, Rotary Club	255.50
Meeting/conference, entertainment	Fuji Restaurant, Tamura Enterprises, Inc., etc.	9,865.23

Schedule IV (A)
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1) General Office expenses (continued)

Miscellaneous

Third Century Leasing,	1,148.43	
General Electric Leasing		
I.B.M	554.00	
Amoco Oil	556.00	
Amlings	467.10	
Government Printing Office, etc.	2,705.86	
(for reference materials)		
<u>Others</u>	<u>3,522.53</u>	
	8,953.92	\$8,953.92

2) Research Department

Marketing research

Marketron Associates	4,500.00	
Jane Fedorowitz	5,000.00	
Dr. Edward Suntrup	5,400.00	
<u>others</u>	<u>1,000.00</u>	
	15,900.00	15,900.00

\$24,865.61

Subscriptions

Ward's Automotive Report, Government Printing- Office, etc.		780.01
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Reference

Government Printing Office, etc.		409.75
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Travel

Yamada Travel Service, staff		2,415.61
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Communications

Illinois Bell Telephone Co., U.S. Post Office		987.58
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Meeting/conference,
entertainment

Fuji Restaurant, Tamura Enterprises, Inc., etc.		3,116.02
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Miscellaneous

1,256.64

Schedule IV (A)
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3) PR Department			
PR agent retain fee	The International Marketing Center, Ltd.	18,000.00	<u>\$33,253.74</u>
Production fee	The International Marketing Center, Ltd.	3,539.08	
Subscriptions	Henshaw Newspaper Delivery, OCS America, Inc.	947.80	
Membership	Rotary Club	105.00	
Travel	Yamada Travel Service, staff	2,883.26	
Communications	Illinois Bell Telephone Co., etc.	1,239.49	
Seminars	Chicago Council on Foreign Relations, Northwestern University	1,110.00	
Luncheons	Japan America Society, Rotary Club, etc.	986.15	
Meeting/conference, entertainment	Fuji Restaurant, Mid-America Club, Tamura- Enterprises, Inc.	3,499.95	
Miscellaneous		943.01	

Grand Total

\$355,836.05

SCHEDULE IV (B)

Osaka

Expenditure - April ----- September, 1982

1) Salary - staff & local staff	T. Majima 8,784.30 H. Uchida 3,642.28 M. Takigawa 10,225.68 <u>22,652.26</u> J. Porto 8,973.36	\$31,625.62
2) Office rental	T.B.Z. Realty & Mgmt. Corp.	1,026.00
3) Utility	T.B.Z. Realty & Mgmt. Corp.	808.38
4) Office supply	Horder Management Corp., etc	571.10
5) Communications	Illinois Bell Telephone Co., US Tel, U.S. Post Office, Western Union, etc.	3,430.67
6) Meeting/conference, entertainment	Fuji Restaurant, etc.	2,414.84
7) Office car maintenance	Amoco Oil Co., Honda & Associates	2,318.07
8) Travel, transportation	Capital Travel Service, staff	3,690.84
9) Subscriptions		738.78
10) Memberships	Japanese Chamber of Commerce & Industry of Chicago, Chicago Association of Commerce & Industry, etc.	1,758.97
11) Research	Dun & Bradstreet, etc.	1,291.00
12) Miscellaneous		1,491.75
Grand total		\$51,166.02

Mar 1 2 55 PM '57
RECEIVED
REGISTRATION UNIT

Chief Executive Director
Title